

# **An Invitation to the Arithmetic Langlands**

Geometric Langlands Reading Seminar 1

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# Table of contents

1. A Naive Introduction to the Langlands Program
2. Galois Representations and Modular Forms
3. Adelization and Automorphic Representations

# **A Naive Introduction to the Langlands Program**

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# Solving Equations

## Fundamental Problem in Number Theory

Let  $f(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$ . Find all rational/integral solutions of

$$f(x_1, \dots, x_n) = 0.$$

This is known to be a very hard problem. For example,

$$f(x_1, x_2, x_3) = x_1^n + x_2^n - x_3^n = 0$$

seems like a hard problem.

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## Easier Problem

Let  $f(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$ .

1. When does  $f(x_1, \dots, x_n) = 0$  have a solution in  $\mathbb{F}_p$ ?
2. How many solutions are there?

# Quadratic Reciprocity

Let's begin with the simplest nontrivial case:  $f(x) = x^2 - m$ .

$$m = 5$$

Let  $f(x) = x^2 - 5$ . Then

$$\exists x \in \mathbb{F}_p \text{ s.t. } f(x) = 0 \iff p \equiv \pm 1 \pmod{5} \text{ or } p = 2, 5.$$

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## Quadratic Reciprocity

Let  $f(x) = x^2 - m$ ,  $m$  square-free. Then there exists a congruence class  $S \pmod{4m}$  such that, if  $p \nmid 4m$ , then

$$\exists x \in \mathbb{F}_p \text{ s.t. } f(x) = 0 \iff p \in S \pmod{4m}.$$

What happens if we consider a cubic polynomial?

### Cubic Equation

Let  $f(x) = x^3 + x^2 - 2x - 1$ . Then the list of primes for which  $f(x) = 0 \pmod{p}$  has a solution includes

$$p = 7, 13, 29, 41, 43, 71, 83, 97, 113, 127, \dots$$



# Harder Case

What happens if we consider a cubic polynomial?

## Cubic Equation

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Indeed,

$$\exists x \in \mathbb{F}_p \text{ s.t. } f(x) = 0 \iff p \equiv \pm 1 \pmod{7} \text{ or } p = 7.$$

# Class Field Theory

The essence of the class field theory is that this is always possible if the Galois group of  $f$  is abelian.

## Class Field Theory

Let  $f(x) \in \mathbb{Z}[x]$  be a monic irreducible polynomial **whose Galois group is abelian**. Then, **there exists a number  $N$ , called the conductor of  $f$ , and a congruence class  $S \bmod N$  such that**

$$\exists x \in \mathbb{F}_p \text{ s.t. } f(x) = 0 \iff p \in S \bmod N$$

apart from a finite number of exceptions.

Note that the Galois group of  $x^3 + x^2 - 2x - 1$  is  $C_3$ .

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## Naive form of the Langlands Program

Extend this to **arbitrary** monic irreducible  $f(x)$ .

## An Example

Let  $f(x) = x^3 - x^2 + 1$ , whose Galois group is  $S_3$ . The list of primes for which  $f(x) = 0 \pmod{p}$  has a solution includes

5, 7, 11, 17, 19, 23, 37, 43, 53, 59, 61, 67, 79, 83, 89, 97,  $\dots$

Can you guess the pattern?

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Can you guess the pattern?

### Answer

Let

$$F(q) = q \prod_{n=1}^{\infty} (1 - q^n)(1 - q^{23n}) = \sum_{n=1}^{\infty} a_n q^n.$$

Then

$$\exists x \in \mathbb{F}_p \text{ s.t. } f(x) = 0 \iff a_p = 2 \text{ or } 0 \text{ or } p = 23.$$

Note:  $a_p = -1, 0$  or  $2$ . Therefore this is equivalent to  $a_p \neq -1$ .

The list of primes for which  $f(x) = 0 \pmod p$  does **not** have a solution includes

$$2, 3, 13, 29, 31, 41, 47, 71, 73, \dots$$

and

$$\begin{aligned} F(q) = & q - q^2 - q^3 + q^6 + q^8 - q^{13} - q^{16} + q^{23} - q^{24} + q^{25} + q^{26} \\ & + q^{27} - q^{29} - q^{31} + q^{39} - q^{41} - q^{46} - q^{47} + q^{48} + q^{49} \\ & - q^{50} - q^{54} + q^{58} + 2q^{59} + q^{62} + q^{64} - q^{69} - q^{71} - q^{73} + \dots \end{aligned}$$

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### Less Naive form of the Langlands Program

For **arbitrary** monic irreducible  $f(x)$ ,  $\exists$  a special function  $F$  whose coefficients contain the information whether  $f(x) = 0$  is solvable or not **apart from a finite number of exceptions.**

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### Langlands-Tunnell Theorem, 1981

We can do this if  $\deg f \leq 4$ .



## One more Example, but with two variables

Let

$$f(x, y) = y^2 + y - x^3 + x^2.$$

Let  $n_p$  be the number of solutions of  $f(x, y) = 0$  in  $\mathbb{F}_p$ , and  $b_p := p - n_p$ .

$p$	2	3	5	7	11	13	17	19	23	29	31
$b_p$	-2	-1	1	-2	1	4	-2	0	-1	0	7

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Let

$$F(q) = q \prod_{n=1}^{\infty} (1 - q^n)^2 (1 - q^{11n})^2 = \sum_{n=1}^{\infty} a_n q^n$$

### Eichler Reciprocity

$$a_p = b_p$$

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**Taniyama-Shimura-Weil Conjecture, or the Modularity Theorem,  
proved by Taylor-Wiles, Breuil-Conrad-Diamond-Taylor**

We can do this for any elliptic curve over  $\mathbb{Q}$ .

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**Another Naive Form of the Langlands Program**

Extend this to general equations.

# **Galois Representations and Modular Forms**

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# Dedekind Domain

## Dedekind Domain

A **Dedekind domain** is a Noetherian 1-dimensional normal domain.

## Examples

1. If  $K$  is a finite extension of  $\mathbb{Q}$ , then the **ring of integers**  $\mathcal{O}_K$ , the integral closure of  $\mathbb{Z}$ , is a Dedekind domain.
2. If  $X$  is a smooth affine curve over a field  $k$ , then the **ring of global sections**  $\Gamma(X, \mathcal{O}_X)$  is a Dedekind domain.

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## Existence and Uniqueness of Prime Factorization

In a Dedekind domain  $D$ , every nonzero ideal  $I$  can be uniquely represented as a product of prime ideals:

$$I = P_1^{e_1} \cdots P_r^{e_r},$$

where  $P_1, \dots, P_r$  are distinct nonzero prime ideals of  $D$ .



Especially, if  $K$  is a finite extension of  $\mathbb{Q}$  and  $p$  is a prime

$$p\mathcal{O}_K = P_1^{e_1} \cdots P_r^{e_r}.$$

In this case,  $e_i$  is the **ramification index** of  $P_i$ . Note that  $\mathcal{O}_K/P_i$  is a finite extension of  $\mathbb{F}_p$ .  $f_i = [\mathcal{O}_K/P_i : \mathbb{F}_p]$  is the **inertia degree** of  $P_i$ .

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Why should we care?

Let  $K = \mathbb{Q}[x]/f(x)$  for a monic irreducible polynomial  $f(x) \in \mathbb{Z}[x]$  and

$$p\mathcal{O}_K = P_1^{e_1} \cdots P_r^{e_r}.$$

## Factorization

Apart from a finite number of primes  $p$ ,

$$f(x) = p_1(x)^{e_1} \cdots p_r(x)^{e_r}$$

in  $\mathbb{F}_p$ , where  $p_i$  is a monic irreducible polynomial in  $\mathbb{F}_p[x]$  of degree  $f_i$ .

# Good News!

## Almost Everywhere Unramifiedness

Apart from a finite number of primes  $p$ ,  $e_i = 1$ .

## Galois Action

If  $K$  is a Galois extension, then  $e_i$  and  $f_i$  are constant.

## Fundamental Equality

$$\sum_{i=1}^r e_i f_i = [K : \mathbb{Q}].$$

In particular, if  $K$  is Galois, apart from a finite number of primes  $p$ ,

$$f r = [K : \mathbb{Q}]$$

# Frobenius Endomorphism

All in all, to know the prime factorization, if  $K$  is Galois, apart from a finite number of primes  $p$ , it is enough to know  $f$ . Let

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# Frobenius Endomorphism

All in all, to know the prime factorization, if  $K$  is Galois, **apart from a finite number of primes  $p$** , it is enough to know  $f$ . Let

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## Frobenius element

**Apart from a finite number of primes  $p$** , there exists a natural element  $\text{Fr}_p \in \text{Gal}(K/\mathbb{Q})$ , the **Frobenius element**, defined up to conjugacy, s.t.

1. Maps  $P_i$  to  $P_i$  for some  $i$ .
2. Induces the Frobenius endomorphism on  $\mathcal{O}_K/P_i$ .
3. The order of  $\text{Fr}_p$  is  $f$ .

## Interim Summary

To **solve the equation**, it is enough to find **Frobenius**.

## Return to the Example

One natural way to recognize the Frobenius is by using a representation.

Return to  $x^3 - x^2 + 1$ ! Its Galois group is  $S_3$ . This

1. Has three conjugacy classes, and
2. Admits a unique faithful 2-dim representation

$$\rho : \text{Gal}(K/\mathbb{Q}) \simeq S_3 \rightarrow \text{GL}_2(\mathbb{C}).$$

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We can distinguish conjugacy classes by the trace of  $\rho$ .

Conjugacy Class	$\text{tr}(\rho(c))$
id	2
(1 2)	0
(1 2 3)	-1

## Reciprocity in terms of the Galois representation

Let

$$F(q) = q \prod_{n=1}^{\infty} (1 - q^n)(1 - q^{23n}) = \sum_{n=1}^{\infty} a_n q^n.$$

Then, apart from a finite number of primes  $p$ ,

$$a_p = \text{tr}(\rho(\text{Fr}_p)).$$



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## A refined form of Langlands Program - Version 1

For a Galois representation  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_n$ , there exists a special function  $F(q) = \sum_n a_n q^n$  such that

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apart from a finite number of primes  $p$ .

## Examples of Galois representations

Let  $K$  be a Galois extension of  $\mathbb{Q}$ . Then there exists a natural projection

$$\mathrm{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \mathrm{Gal}(K/\mathbb{Q}).$$

Since  $\mathrm{Gal}(K/\mathbb{Q})$  is a finite group, its representation theory over  $\mathbb{C}$  is well known. We can produce a lot of representations

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$$\mathrm{Gal}(K/\mathbb{Q}) \rightarrow \mathrm{GL}_n(\mathbb{C}).$$

By composing them, we have representations

$$\rho : \mathrm{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \mathrm{GL}_n(\mathbb{C})$$

with a finite image. They are called **Artin representations**.

Let  $E$  be an elliptic curve over  $\mathbb{Q}$ . Then  $E(\bar{\mathbb{Q}})$

1. Is an abelian group, and
2.  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  acts on  $E(\bar{\mathbb{Q}})$ .

Hence, its  $l^n$ -torsion  $E(\bar{\mathbb{Q}})[l^n]$  is also a  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ -module.

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**Fact:**  $E(\bar{\mathbb{Q}})[l^n]$  is isomorphic to  $(\mathbb{Z}/l^n\mathbb{Z})^2$  as an abelian group.

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$$\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Z}/l^n\mathbb{Z}),$$

By taking limit  $n \rightarrow \infty$ , we obtain

$$\rho_{E,l} : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Z}_l).$$

This is the  **$l$ -adic Tate module** of  $E$ .

## Lefschetz Fixed Point Theorem

Let  $n_p$  be the number of points of  $E$  in  $\mathbb{F}_p$ , and  $b_p := p - n_p$ . Then

$$\mathrm{tr}(\rho_{E,l}(\mathrm{Fr}_p)) = b_p$$

apart from a finite number of primes  $p$ .

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## Modularity Theorem, refined version

For any elliptic curve  $E$  over  $\mathbb{Q}$ , there exists a special function ,

$$F_E(q) = \sum_n a_n q^n$$

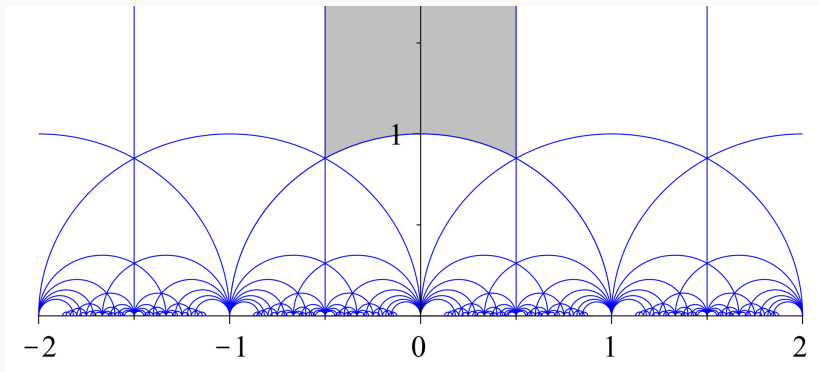
such that

$$a_p = \mathrm{tr}(\rho_{E,l}(\mathrm{Fr}_p)).$$

apart from a finite number of primes  $p$ , for any choice of prime  $l$ .



# Upper Half Plane with the $SL_2(\mathbb{Z})$ action



**Figure 1:** Fundamental domains of the  $SL_2(\mathbb{Z})$  action on  $\mathbb{H}$ , PC: Wikipedia

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} z = \frac{az + b}{cz + d}.$$

The **Modular Group**,  $SL_2(\mathbb{Z})$ , has some special subgroups, such as

$$\Gamma(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL_2(\mathbb{Z}) \mid \begin{bmatrix} a & b \\ c & d \end{bmatrix} \equiv \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \pmod{N} \right\}.$$

# Modular Group

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A **congruence subgroup** is a subgroup of  $SL_2(\mathbb{Z})$  that contains  $\Gamma(N)$  for some  $N$ . For instance,

$$\Gamma_1(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL_2(\mathbb{Z}) \mid \begin{bmatrix} a & b \\ c & d \end{bmatrix} \equiv \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix} \pmod{N} \right\},$$

$$\Gamma_0(N) = \left\{ \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL_2(\mathbb{Z}) \mid \begin{bmatrix} a & b \\ c & d \end{bmatrix} \equiv \begin{bmatrix} * & * \\ 0 & * \end{bmatrix} \pmod{N} \right\}.$$

For any

$$\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{GL}_2^+(\mathbb{R}),$$

a natural number  $k$  and a function  $F : \mathbb{H} \rightarrow \mathbb{C}$ , we define  $F|_k \gamma$  by

$$\begin{aligned} F|_k \gamma(z) &:= (\det \gamma)^{\frac{k}{2}} (cz + d)^{-k} F(\gamma z) \\ &= (\det \gamma)^{\frac{k}{2}} (cz + d)^{-k} F\left(\frac{az + b}{cz + d}\right). \end{aligned}$$

This is the **slash operator**.

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This is the **slash operator**.

Note that this is defined in a way that the following holds:

$$F|_k \gamma(z)(dz)^{\otimes \frac{k}{2}} = F(\gamma z)(d(\gamma z))^{\otimes \frac{k}{2}}.$$

# Modular Forms

## Modular form

A **weak modular form** of **weight  $k$**  and **level  $N$**  is a holomorphic function  $F : \mathbb{H} \rightarrow \mathbb{C}$  s.t.  $F|_k \gamma = F$  for any  $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \Gamma_1(N)$ , i.e.

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Note that  $\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \in \Gamma_1(N)$ , so  $F(z) = F(z + 1)$ . Hence

$$F(z) = F_0(q) \text{ where } q = e^{2\pi iz}$$

for a holomorphic function  $F_0$  on a punctured disc.

The same holds for  $F|_k \gamma(z)$  where  $\gamma \in \mathrm{SL}_2(\mathbb{Z})$ , so  $F|_k \gamma(z) = F_0^\gamma(q)$ .

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$F$  is a **modular form** if  $F_0^\gamma$  holomorphic, and a **cusp form** if  $F_0^\gamma(0) = 0$ .



## Examples of Modular Forms

Eisenstein Series: weight  $2k$ , level  $1$ , non-cusp form.

$$E_{2k}(z) = \frac{1}{2\zeta(2k)} \sum_{(m,n) \in \mathbb{Z}^2 \setminus (0,0)} \frac{1}{(mz + n)^{2k}} = 1 - \frac{4k}{B_{2k}} \sum_{n=1}^{\infty} \sigma_{2k-1}(n) q^n.$$

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Modular Discriminant: weight  $12$ , level  $1$ , cusp form.

$$\Delta(q) = q \prod_{n=1}^{\infty} (1 - q^n)^{24} = \sum_{n=1}^{\infty} \tau(n)q^n.$$

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Our Examples: weight  $1$ /level  $23$ , weight  $2$ /level  $11$ . Cusp forms.

$$F(q) = q \prod_{n=1}^{\infty} (1 - q^n)(1 - q^{23n}), F_E(q) = q \prod_{n=1}^{\infty} (1 - q^n)^2(1 - q^{11n})^2$$

# The Langlands Program

## A refined form of Langlands Program - Version 2

For an **irreducible Galois representation**  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2$ , there exists a **cuspidal form**  $F(q) = \sum_n a_n q^n$  such that

$$a_p = \text{tr}(\rho(\text{Fr}_p)).$$

apart from a finite number of primes  $p$ .

**Caution:** This is **trivially false** for various reasons.

In the rest of presentation, we will improve the preceding formulation:

1. Make this statement works in both direction.
2. Generalize this from  $\text{GL}_2$  to arbitrary reductive group.

# Modular Curves

Consider

$$Y(N) := \Gamma(N) \backslash \mathbb{H}, \quad Y_0(N) := \Gamma_0(N) \backslash \mathbb{H}, \quad Y_1(N) := \Gamma_1(N) \backslash \mathbb{H}.$$

Note that  $SL_2(\mathbb{Z}) \backslash \mathbb{H}$  is the moduli space of elliptic curves. In the same vein, these are moduli space of elliptic curves with a level structure.

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$Y_1(N)$  = moduli space of pairs  $(E, P)$

$E$  : an elliptic curve

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$E$  : an elliptic curve

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**Exercise:** Find a similar description for  $Y(N)$ ,  $Y_0(N)$ .

They have natural compactification

$$Y(N) \subseteq X(N), \quad Y_0(N) \subseteq X_0(N) \quad \text{and} \quad Y_1(N) \subseteq X_1(N).$$

# Geometric Description of Modular Forms

Let  $S_k(N)$  (resp.  $M_k(N)$ ) be the  $\mathbb{C}$ -vector space of cusp (resp. modular) forms. Recall that the slash operator is defined such that

$$F|_k \gamma(z)(dz)^{\otimes \frac{k}{2}} = F(\gamma z)(d(\gamma z))^{\otimes \frac{k}{2}}$$

and we require

$$F|_k = F, \text{ i.e. } F(z)(dz)^{\otimes \frac{k}{2}} = F(\gamma z)(d(\gamma z))^{\otimes \frac{k}{2}}$$

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## Geometric Description of $S_2(N)$

$$S_2(N) \simeq \Gamma \left( X_1(N), \Omega_{X_1(N)}^1 \right).$$

Exactly the same statement holds for  $\Gamma_0(N)$  and  $X_0(N)$ .

We can obtain similar description for a general weight  $k$ . Let

$$\pi : \mathcal{E} \rightarrow X_1(N)$$

be the universal family of elliptic curves, and

$$\lambda := \pi_* \Omega_{\mathcal{E}/X_1(N)}^1$$

be the **Hodge line bundle**.

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be the **Hodge line bundle**.

### Geometric Description of $M_k(N)$

$$M_k(N) \simeq \Gamma(X_1(N), \lambda^{\otimes k}).$$

This explains the **last condition** in the definition:

$$F|_k\gamma(z) = F|_k\gamma(z+1) \text{ for } \gamma \in \mathrm{SL}_2(\mathbb{Z}), \text{ so } F|_k\gamma(z) = F_0^\gamma(q).$$

$F$  is a **modular form** if  $F_0^\gamma$  holomorphic, and a **cusp form** if  $F_0^\gamma(0) = 0$ .

The points in  $D_N := X_1(N) \setminus Y_1(N)$  are called **cusps**.

The **last condition** corresponds to the regularity of the section at cusps.

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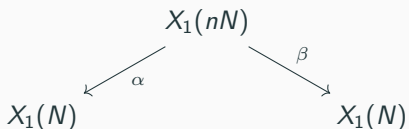
The **last condition** corresponds to the regularity of the section at cusps.

### Geometric Description of $S_k(N)$

$$S_k(N) \simeq \Gamma(X_1(N), \lambda^{\otimes k}(D_N)).$$

# Hecke Operator

Let  $n$  be an integer prime to  $N$ . Let



where

$$\alpha(E, P) = (E, nP), \quad \beta(E, P) = (E/NP, P).$$

This is the **Hecke correspondence**  $T_n$  of  $X_1(N)$ .

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$$\begin{array}{ccc} & X_1(nN) & \\ \alpha \swarrow & & \searrow \beta \\ X_1(N) & & X_1(N) \end{array}$$

where

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This is the **Hecke correspondence**  $T_n$  of  $X_1(N)$ .

The definition of  $T_n$  is a bit more complicated for a general  $n$ .

The **Hecke operator**  $T_n$  on  $S_k(N)$  is

$$T_n = \alpha_* \beta^* : S_k(N) \rightarrow S_k(N).$$

## Hecke Algebra for $N = 1$

On  $S_k(1)$ ,  $\{T_n\}_{n \in \mathbb{N}}$  is

1. Simultaneously diagonalizable.
2. If  $F$  is a common eigenfunction of  $\{T_n\}_{n \in \mathbb{N}}$  such that

$$F(q) = q + \sum_{n=2}^{\infty} a_n q^n,$$

then  $T_p F = a_p F$ .

3. If  $F$  and  $G$  are nonzero common eigenfunctions of  $T_n$  with the same eigenvalues, then they coincide up to a constant.



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Essentially nothing holds for general  $N$ .

# Fixing the Problem

The origin of the problem:

if  $f(z) \in S_k(N)$ , then  $f(dz) \in S_k(dN)$ , which has the same info

## Oldforms

An element  $f \in S_k(N)$  given by

$$f(z) = g(dz)$$

For some  $d \mid N$  and  $g \in S_k(N/d)$ , is called an **oldform**.

Let  $S_k(N)^{\text{old}}$  be the subspace spanned by oldforms.

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Let  $S_k(N)^{\text{old}}$  be the subspace spanned by oldforms.

There is a natural inner product on  $S_k(N)$ , given by

$$\langle f, g \rangle = \int_{\Gamma_1(N) \backslash \mathbb{H}} f(z) \overline{g(z)} y^{k-2} dx dy.$$

The **newform** is defined as an element of  $S_k(N)^{\text{new}} = (S_k(N)^{\text{old}})^{\perp}$ .

### Hecke Algebra for $S_k(N)^{\text{new}}$

On  $S_k(N)^{\text{new}}$ ,  $\{T_n\}_{n \in \mathbb{N}}$  is

1. Simultaneously diagonalizable.
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$$F(q) = q + \sum_{n=2}^{\infty} a_n q^n,$$

then  $T_p F = a_p F$ .

3. If  $F$  and  $G$  are nonzero common eigenfunctions of  $T_n$  with the same eigenvalues, then they coincide up to a constant.

The **Hecke eigenform** is an element of  $S_k(N)^{\text{new}}$  that satisfies (2) above.

## A refined form of Langlands Program - Version 3

There exists a one-to-one correspondence between

1. irreducible Galois representation  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2$
2. Hecke eigenform  $F$

such that, apart from a finite number of primes  $p$ ,

$$a_p(F) = \text{tr}(\rho(\text{Fr}_p)).$$

**Caution:** Still, this is **trivially false** for various reasons.

- We did not specify the base field of  $\rho$ ,
- We need more than cusp forms for it to be true,

among many others. Nevertheless, this serves as a good starting point!

Good news: Now we know enough to formulate some theorems!

**Deligne-Serre** ( $k = 1$ ), **Eichler-Shimura** ( $k = 2$ ), **Deligne** ( $k > 2$ )

For any Hecke eigenform  $F \in S_k(N)^{\text{new}}$  and a prime  $l$ , there exists an irreducible Galois representation

$$\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\bar{\mathbb{Q}}_l)$$

such that

$$a_p(F) = \text{tr}(\rho(\text{Fr}_p)).$$

apart from a finite number of primes  $p$ ,

Hence, at least one direction of the conjecture is true!

## A special case of the Artin's conjecture, Khare-Wintenberger 09

If  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{C})$  is an irreducible representation such that  $\det \rho(c) = -1$ , where  $c$  is the complex conjugation, then there exists a weight 1 Hecke eigenform  $F$  such that

$$a_p(F) = \text{tr}(\rho(\text{Fr}_p)).$$

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## A special case of the Artin's conjecture, Khare-Wintenberger 09

If  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{C})$  is an irreducible representation such that  $\det \rho(c) = -1$ , where  $c$  is the complex conjugation, then there exists a weight 1 Hecke eigenform  $F$  such that

$$a_p(F) = \text{tr}(\rho(\text{Fr}_p)).$$

apart from a finite number of primes  $p$ .

## Modularity Theorem, a rigorous statement

If  $E$  is an elliptic curve over  $\mathbb{Q}$ , there exists a weight 2 Hecke eigenform  $F$  of  $\Gamma_0(N)$  such that

$$a_p(F) = \text{tr}(\rho_{E,l}(\text{Fr}_p)).$$

apart from a finite number of primes  $p$  and any prime  $l$ .



# Adelization and Automorphic Representations

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**Goal:** Provide a 'natural' description of the congruence subgroups.

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We use  $p$ -adic numbers to do this. Recall that

$$\mathbb{Z}_p := \varprojlim \mathbb{Z}/p^n\mathbb{Z} \text{ and } \mathbb{Q}_p = \mathbb{Z}_p \left[ \frac{1}{p} \right].$$

They have natural topology, which makes them a topological ring, and a local basis at 0 is given by

$$\mathbb{Z}_p \supset p\mathbb{Z}_p \supset \cdots \supset p^{n-1}\mathbb{Z}_p \supset p^n\mathbb{Z}_p \supset p^{n+1}\mathbb{Z}_p \supset \cdots$$

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We also topologize algebraic groups over  $\mathbb{Q}_p$ , e.g.  $\mathrm{GL}_n(\mathbb{Q}_p)$  has a basis

$$\mathrm{GL}_n(\mathbb{Z}_p) \supset 1_n + p\mathrm{M}_n(\mathbb{Z}_p) \supset \cdots \supset 1_n + p^{n-1}\mathrm{M}_n(\mathbb{Z}_p) \supset 1_n + p^n\mathrm{M}_n(\mathbb{Z}_p) \cdots$$

The **Adele** (over  $\mathbb{Q}$ ) is defined by

$$\mathbb{A}_{\mathbb{Q}} := \mathbb{R} \times \prod'_p \mathbb{Q}_p = \{(a_{\infty}, a_2, a_3, \dots) \mid a_p \in \mathbb{Z}_p \text{ for almost every } p\}.$$

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It is a locally compact topological ring whose basis at 0 is given by

$$U \times \prod_{i=1}^r p_i^{e_i} \mathbb{Z}_{p_i} \times \prod_{p \nmid N} \mathbb{Z}_p$$

where  $N = p_1^{e_1} \cdots p_r^{e_r}$  and  $U$  is any open subset containing 0.

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where  $N = p_1^{e_1} \cdots p_r^{e_r}$  and  $U$  is any open subset containing 0.

The 'diagonal embedding'  $\mathbb{Q} \rightarrow \mathbb{A}_{\mathbb{Q}}$  is discrete and cocompact.

**Exercise:**  $\mathbb{Q} \backslash \mathbb{A}_{\mathbb{Q}} \simeq \mathbb{R}/\mathbb{Z} \times \prod_p \mathbb{Z}_p$ ,  $\mathbb{Q} \backslash \mathbb{A}_{\mathbb{Q}} / \prod_p \mathbb{Z}_p \simeq \mathbb{R}/\mathbb{Z}$ .

Although it looks scary, its appropriate quotient is just a manifold!

Have  $GL_2(\mathbb{R})/O_2(\mathbb{R}) \simeq \mathbb{H}$  in mind! Let

$$GL_2(\mathbb{Q}_p) \supset GL_2(\mathbb{Z}_p), GL_2(\mathbb{R}) \supset O_2(\mathbb{R})$$

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$$Z(\mathbb{A}_{\mathbb{Q}})GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_{\mathbb{Q}}) / O_2(\mathbb{R}) \times \prod_p GL_2(\mathbb{Z}_p) \simeq SL_2(\mathbb{Z}) \backslash \mathbb{H}.$$

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Similarly, for  $N = p_1^{e_1} \cdots p_r^{e_r}$ , let  $K(N) = K_{\infty} \times \prod_p K_p(N)$  where

$$K_{\infty} = O_2(\mathbb{R}), K_{p_i}(N) = 1_n + p_i^{e_i} M_n(\mathbb{Z}_{p_i}), K_p(N) = GL_2(\mathbb{Z}_p) \text{ for } p \neq p_i.$$

Then

$$Z(\mathbb{A}_{\mathbb{Q}})GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_{\mathbb{Q}}) / K(N) \simeq GL_2(\mathbb{Q}) \cap \prod_p K_p \backslash \mathbb{H} \simeq \Gamma(N) \backslash \mathbb{H}.$$

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Upshot: Modular forms lives in  $Z(\mathbb{A}_{\mathbb{Q}})GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_{\mathbb{Q}}) / K(N)$ .

This extends to reductive groups: Let

$G$  : a reductive group

$K_\infty$  : a maximal compact subgroup of  $G(\mathbb{R})$

$K_{\text{fin}}$  : a compact open subgroup of  $\prod_p G(\mathbb{Q}_p)$ .

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Punchline: For  $K = K_\infty K_{\text{fin}} \subseteq G(\mathbb{A}_\mathbb{Q})$ ,

$Z(\mathbb{R}) \backslash G(\mathbb{R}) / K$  is a locally symmetric space,

$Z(\mathbb{A}_\mathbb{Q}) G(\mathbb{Q}) \backslash G(\mathbb{A}_\mathbb{Q}) / K$  is a quotient of  $Z(\mathbb{R}) \backslash G(\mathbb{R}) / K$  by  $G(\mathbb{Q}) \cap K_{\text{fin}}$ .

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Let  $M = Z(\mathbb{R}) \backslash G(\mathbb{R}) / K$  and  $\Gamma_K = G(\mathbb{Q}) \cap K_{\text{fin}}$ .

$\Gamma_K \backslash M$  is a generalization of the modular curve, 'parameterized' by  $K$ .

$$Z(\mathbb{A}_\mathbb{Q}) G(\mathbb{Q}) \backslash G(\mathbb{A}_\mathbb{Q}) \text{ " = " } \varprojlim_K \Gamma_K \backslash M.$$

# Automorphic Representations

Let  $\chi : Z(\mathbb{A}_{\mathbb{Q}}) \rightarrow U(1)$  be a character and  $F : G(\mathbb{Q}) \backslash G(\mathbb{A}_{\mathbb{Q}}) \rightarrow \mathbb{C}$ .

Let  $L_0^2(G, \chi)$  be the set of functions satisfying

1. [Central Character]  $F(gz) = \chi(z)F(g)$  for  $z \in Z(\mathbb{A}_{\mathbb{Q}})$
2. [ $L^2$  condition]

$$\int_{Z(\mathbb{A}_{\mathbb{Q}})G(\mathbb{Q}) \backslash G(\mathbb{A}_{\mathbb{Q}})} |F(g)|^2 dg < \infty$$

3. [Cuspidality]

$$\int_{U(\mathbb{Q}) \backslash U(\mathbb{A}_{\mathbb{Q}})} F(ug) dg = 0$$

for any unipotent radical  $U$  of a parabolic subgroup  $P$ .

The **cuspidal automorphic representation** of  $G(\mathbb{A}_{\mathbb{Q}})$  is an irreducible subrepresentation of  $L_0^2(G, \chi)$ .

Recall that

$$Z(\mathbb{A}_{\mathbb{Q}})G(\mathbb{Q})\backslash G(\mathbb{A}_{\mathbb{Q}}) \text{ " = " } \varprojlim_K \Gamma_K \backslash M.$$

Hence,  $L_0^2(G, \chi)$  reduced to the spectral theory of  $\Gamma_K \backslash M$ . In this vein, Cuspidal automorphic representations  $\approx$  Laplacian eigenspace of  $\Gamma_K \backslash M$ .



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**Example:** Let  $G = \mathrm{GL}_2$  and  $F \in S_k(N)$ . Then

$$g \mapsto F|_k g(i) = (\det g)^{\frac{k}{2}} (ci + d)^k f\left(\frac{ai + b}{ci + d}\right),$$

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This is a Laplacian eigenfunction with eigenvalue  $\frac{k(1-k)}{4}$ .

Hence, a modular form gives rise to an automorphic representation.

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# Hecke Operators

For any reductive group  $G$ , there exists a theory of Hecke operators for  $L_0^2(G, \chi)$ , but describing it here is a bit intricate.

If  $G = \mathrm{GL}_n$ , the situation is much simpler:

For each prime  $p$ , there exists  $n$  operators

$$T_{p,1}, \dots, T_{p,n}$$

and we can define the ‘eigenvalues’ of these operators for a cuspidal automorphic representation  $\pi$ . These eigenvalues are denoted by  $a_{p,i}(\pi)$ .

## Our Final Version of the Langlands Program, for $GL_n$

There exists a one-to-one correspondence between

1. Irreducible Galois representation  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL_n(\bar{\mathbb{Q}}_l)$ .
2. Cuspidal automorphic representation  $\pi$  of  $GL_n(\mathbb{A}_{\mathbb{Q}})$ .

such that

$$\det(X1_n - \rho(\text{Fr}_p)) = X^n + \sum_{i=1}^n (-1)^i a_{p,i}(\pi) X^{n-i}.$$

Unfortunately, the Langlands program for a reductive group is **not** a correspondence between  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow G(\bar{\mathbb{Q}}_l)$  and cuspidal automorphic representations of  $G$ .

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### Langlands Dual

Let  $G$  be a reductive group with the root datum  $(X^*, \Delta, X_*, \Delta^\vee)$ . The **Langlands dual**  $G^L$  of  $G$  is the reductive group corresponding to  $(X_*, \Delta^\vee, X^*, \Delta)$ .

If  $G$  is semisimple, then this coincides with the Dynkin dual.

$G$	$\text{GL}_n$	$\text{SL}_n$	$\text{SO}_{2n}$	$\text{SO}_{2n+1}$	$\text{Spin}(2n)$
$G^L$	$\text{GL}_n$	$\text{PGL}_n$	$\text{SO}_{2n}$	$\text{Sp}_{2n}$	$\text{SO}_{2n}/\{\pm 1_{2n}\}$

## Our Final Version of the Langlands Program, for $G$

Let  $G$  be a reductive algebraic group. There exists a one-to-one correspondence between

1. Galois representation  $\rho : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow G^L(\bar{\mathbb{Q}}_l)$ .
2. Automorphic representation  $\pi$  of  $G$ .

Of course, we need to specify the relationship between the Hecke eigenvalues and the image of the Frobenius element, but this is challenging for a general reductive group.



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However, this is good enough for the Geometric Langlands Program!